

**CONFLICT OF INTEREST POLICY CERTIFICATION FOR PREVIOUSLY ESTABLISHED  
SUBAWARD/SUBCONTRACT**

Michigan Technological University - Office of Compliance, Integrity, and Safety

Other Institution \_\_\_\_\_

Project Title \_\_\_\_\_

Key Personnel Identified: \_\_\_\_\_ Award Number \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**This form will have to be submitted to Michigan Tech in order for the amendment/agreement to be issued for the upcoming year's funding.**

**Conflict of Interest Policy Certification:**

A. We have a conflict of interest policy which conforms to the requirements of all applicable regulations, including but not limited to those set forth in 45 CFR Part 94 and 42 CFR Part 50, Subpart F. All investigators identified in the proposal have disclosed any SFIs.

Your COI committee has reviewed the SFI against this application and determined that there is no Financial Conflict of Interest

Your COI committee has reviewed the SFI against this application and determined that there is a Financial Conflict of Interest that needs to be disclosed to NIH. The following document(s) are attached (one set per conflicted person):

- ▶ Name of the investigator with the conflict
- ▶ The nature of the conflict
- ▶ The value of the conflict
- ▶ Description of how it relates to the PHS funding research and why the institution determined that the financial interest conflicts with this research
- ▶ Key elements of the management plan

B. We do not have a conflict of interest policy which conforms to the PHS funded projects policy. We therefore represent that all investigators have disclosed and signed financial interests directly related to this project on the attached form. Attached is the completed ["Form A - Disclosure for Subrecipient Investigators"](#)

Legal Organizational Name \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Authorized Institutional Official

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**RETURN ALL FORMS TO: [FCOI@mtu.edu](mailto:FCOI@mtu.edu) or Michigan Technological University, Office of Compliance, Integrity, and Safety, 1400 Townsend Drive, 302 Lakeshore Center, Houghton, MI 49931**